

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/527,185

Confirmation: 1118

No.

First Named Inventor :

Joachim LOEW

Filed

April 7, 2006

TC/A.U.

3618

Examiner Docket No. (To Be Assigned) 095309.56012US

Customer No.

23911

Title

Hybrid Drive

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § § 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

\boxtimes 1) no later than three months from the application's filing date
or 2) before the mailing date of the first Office Action on the merits (whichever is
later) or 3) before a first Office Action after the filing of a Request for Continued
Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under
37 C.F.R.§ 1.17(p) is required.
after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (Exparte Quayle), (whichever is earlier), and therefore Applicant is filing concurrently herewith:
a Statement under 37 C.F.R. § 1.97(e); or
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).
3) after either a Final Office Action or a Notice of Allowance, but
before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or
III. Statement under 37 C.F.R. § 1.704(d)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
IV. Submission of Non-English Language Documents
∑ The following is a concise explanation of relevance of the non- English language documents listed in the attached Form PTO-1449:
The relevance of document(s) <u>AE</u> to the subject matter of the present invention is/are provided in the specification of the above-identified application.
Corresponding foreign or international report(s) citing document(s) <u>AC-AI & AM</u> , together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.
English language family member publication(s) of document(s) is/are noted on Form PTO-1449.

a Statement under 37 C.F.R. § 1.97(e); and a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or
III. Statement under 37 C.F.R. § 1.704(d)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
IV. Submission of Non-English Language Documents
☐ The following is a concise explanation of relevance of the non- English language documents listed in the attached Form PTO-1449:
The relevance of document(s) <u>AE</u> to the subject matter of the present invention is/are provided in the specification of the above-identified application.
Corresponding foreign or international report(s) citing document(s) AC-AI & AM, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.
English language family member publication(s) of document(s) is/are noted on Form PTO-1449.

<u>AI</u> .		English lang	guage abstrac	ct(s) is/a	re submitted	for document(s)
is	□ s/are sul	English tran	• •	f the fo	oreign langua	ge document(s)
		Applicant	submits	the	following	explanations:
V. <u>Con</u>	tinuatic	ons/Divisional	<u>8</u>			
since they	, filed n 37 C. were pr	ł F.R. §1 .98(d),	_, from whice copies of the mitted to or c	h this a e docun ited by	pplication cla nents are not the United St	ation Serial No. ims benefit. As being provided ates Patent and
that any sapplication	such doc n. Appli te to ant	cument consti icant does not	tutes prior a waive any r rwise remove	rt againght to the any lis	nst the claims ake any actio ted document	as an admission s of the present on that would be t as a competent
	ccount (5-1323, Attor				zation to charge 5, for the fee set
January 1	0, 2007		$\frac{1}{Gary}$	R. Edw	ards	ran
Intellectua P.O. Box 1 Washingto Telephone	al Prope 4300 on, DC 2 No.: (2	RING LLP rty Group 20044-4300 (02) 624-2500 (02) 628-8844	Kegis	tration	No. 31,824	

GRE:stw dn#2937461v1

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995

Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/527,185	
INFORMATION DISCLOSURE			RE	Filing Date	April 7, 2006	
STATEMENT BY APPLICANT				First Named Inventor	Joachim LOEW	
				Art Unit	3618	
(use as many sheets as necessary)				Examiner Name	(To Be Assigned)	
Sheet	1	of	1	Attorney Docket Number	095309.56012US	

U.S. PATENT DOCUMENTS							
Examiner Initials'	Cite No.1	Document Number	er	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code ² (if kno	own)			Figures Appear	
	AA	US-6,276,472	B1	08-21-2001	Takashima et al.		
	AB	US-6,437,456	B1	08-20-2002	Kimura et al.		

		FOREIG	SN PATENT DOO	CUMENTS		
Examiner	Cite	Foreign Patent Document Country Code ³ –Number ⁴ –Kind Code ⁵ (<i>if known</i>)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]
imais	AC	DE 199 58 403 A1	06-07-2001	DaimlerChrysler AG		
	AD	DE 197 43 958 A1	04-08-1999	Bayerische Motoren Werke AG		
	AE	DE 197 21 298 A1	11-26-1998	Mannesmann Sachs AG		
	AF	DE 197 43 958 A1	04-08-1999	Bayerische Motoren Werke AG		
	AG	DE 100 24 235 A1	12-07-2000	Toyota Jidosha Kabushiki Kaisha		
	AH	DE 41 33 059 A1	04-08-1993	Mannesmann AG		
	Al	FR 2 764 663	12-18-1998	Luk Getriebe Systeme GmbH		ABS
	AJ	EP 0 241 216 A2	10-14-1987	Eaton Corporation		
	AK	EP 1 219 485 A2	07-03-2002	Aisin AW Co., Ltd.		
	AL	WO 02/48576 A1	06-20-2002	Aimbridge Pty Ltd.		
	AM	DE 195 05 431 A1	08-22-1996	Bayerische Motoren Werke AG		

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
	AN	German Search Report dated May 8, 2003 with an English translation of the pertinent portions (Eight (8) pages).					

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.